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**TO:** Examiner Amelia A. Owens  
Examining Group 1625

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**COMPANY:** United States Patent and  
Trademark Office

**DATE:** May 9, 2007

**FAX NO.:** 571-273-8300

**NO. OF PAGES**  
**(INCLUDING COVER SHEET): 3**

**SUBJECT/MESSAGE:**

**Re:** U.S. Patent Application Docket No. USF-T195XC1  
POLYPHENOL PROTEASOME INHIBITORS, SYNTHESIS,  
AND METHODS OF USE  
Serial No.: **10/764,728**; Date filed: January 26, 2004  
Applicants: Dou, Chan, Smith

**Submission to PTO:**

1. Comments on Statement of Reasons for Allowance

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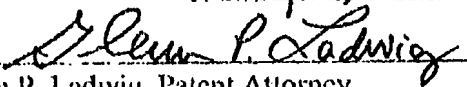
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Glenn P. Ladwig, Patent Attorney

COMMENTS ON STATEMENT OF  
REASONS FOR ALLOWANCE

Examining Group 1625

Patent Application

Docket No. USF-T195XC1

Serial No. 10/764,728

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Amelia A. Owens  
Art Unit : 1625  
Applicants : Q. Ping Dou, Tak-Hang Chan, David M. Smith  
Serial No. : 10/764,728  
Filed : January 26, 2004  
Confirm. No. : 1642  
For : Polyphenol Proteasome Inhibitors, Synthesis, and Methods of Use

## MS ISSUE FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

The applicants and the applicants' undersigned representative wish to thank Examiner Owens for the courtesy of the telephonic examiner interview conducted on February 26, 2007 and May 8, 2007 with the undersigned. The claim amendments set forth in the Notice of Allowability dated April 11, 2007 are partly inconsistent with the substance of the interview of February 26, 2007. Permission was granted by the undersigned during the interview to cancel claims 1, 2, 4, 5, 8-20, and 29-36, amend claims 3, 21, and 23, and add claims 38-41. However, the applicants' respectfully request that the following amendments were authorized, but not made: in claim 3, "or analog" should be deleted; in new claim 39, each of "R<sub>3</sub>", "R<sub>4</sub>", and "R<sub>5</sub>" should be defined as being independently selected from the group consisting of H, alkyl, alkenyl,

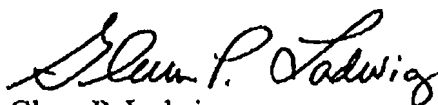
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Docket No. USF-T195XC1  
Serial No. 10/764,728

cycloalkyl, heterocycloalkyl, cycloalkenyl, heterocycloalkenyl, aryl, and acyl; and in new claim 40, "formula I" should be replaced with "formula IV", which has antecedent basis in claim 39. As agreed during the telephonic interview of May 8, 2007, the applicants respectfully request that a supplemental Examiner's Amendment be made to effect the amendments to claims 3, 39, and 40.

Respectfully submitted,



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